

# PhD Functional Analysis Examination

## September 2011

Do any SIX problems. Answer each question on a separate sheet of paper. Write solutions in a neat and logical fashion, giving complete reasons for all steps.

1. Let  $X$  be a vector space and  $\mathcal{F}$  a vector space of linear functionals on  $X$  that separates points.
  - a) Write down a base for the weak topology on  $X$  induced by  $\mathcal{F}$ .
  - b) Prove that if a linear functional  $\varphi$  on  $X$  is continuous in this topology, then  $\varphi \in \mathcal{F}$ .
2. Let  $X$  be a normed vector space. Suppose  $(x_n)$  is a sequence in  $X$ , converging weakly to  $x \in X$ . (That is,  $\varphi(x_n) \rightarrow \varphi(x)$  for every norm-continuous linear functional  $\varphi$ .) Prove that there is a sequence  $y_n$ , where each  $y_n$  is a convex combination of finitely many of the  $x_n$ , such that  $\lim \|y_n - x\| = 0$ .
3. Let  $T$  be a bounded linear operator on a Hilbert space  $H$ . Prove that if both  $T$  and  $T^*$  are bounded below, then  $T$  is invertible. (Recall that  $T$  bounded below means that there is a constant  $c > 0$  so that  $\|Tx\| \geq c\|x\|$  for all  $x \in H$ .)
4. Let  $T$  be a bounded linear operator on Hilbert space. Prove that there is a positive operator  $P$  and a partial isometry  $U$  such that  $\ker U = \ker T$  and  $T = UP$  (that is,  $T$  has a *polar decomposition*).
5. Let  $T$  be a Hilbert space operator with  $\|T\| \leq 1$ .
  - a) Prove that  $I - T^*T$  and  $I - TT^*$  are positive operators.
  - b) Prove that
$$T(I - T^*T)^{1/2} = (I - TT^*)^{1/2}T.$$
(Hint: approximate  $\sqrt{1-x}$  by polynomials  $p_n(x)$  and use the spectral theorem.)
6. Let  $(\lambda_n)$  be a bounded sequence of complex numbers. Consider the *weighted shift operator*  $S$  defined on  $\ell^2(\mathbb{N})$  by
$$S(x_0, x_1, x_2, \dots) = (0, \lambda_0 x_0, \lambda_1 x_1, \lambda_2 x_2, \dots).$$
Characterize those sequences  $(\lambda_n)$  for which  $S$  is compact.
7. Let  $A$  be a Banach algebra (over  $\mathbb{C}$ ) and  $a \in A$ .
  - a) Define the *spectrum* of  $a$ .
  - b) Show that if  $\lambda \in \mathbb{C}$  and  $|\lambda| > \|a\|$ , then  $\lambda$  does not lie in the spectrum of  $a$ .